

PATENT

ATTORNEY DOCKET NO: PROG.003.00USRe

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Reissue application of:

Ralph W. Emerson

Bradford G. Crandall, Jr.

Patent No.: 5,536,501

Issued: July 16, 1996

Reissue Serial No: Not Yet Assigned

Filed: November 24, 1997

For: **USE OF AROMATIC ALDEHYDES
AS INSECTICIDES AND FOR
KILLING ARACHNIDS**

) Examiner: Not Yet Assigned
) (Examiner S. Howard in parent case)
)

) Art Unit: Not Yet Assigned
)

) **POWER OF ATTORNEY BY**
) **ASSIGNEE AND EXCLUSION OF**
) **INVENTORS UNDER RULE 3.71**
)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned is the assignee of 100% interest of the above-identified subject reissue application by virtue of an assignment from the inventors, recorded in the Patent and Trademark Office (Reel 7358/Frame 0333).

The undersigned hereby appoints:

BARBARA RAE-VENTER, Ph.D., Reg. No. 32,750

VIOLA T. KUNG, Ph.D., Reg. No. 41,131

NISAN A. STEINBERG, Ph.D., Reg. No. 40,345

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EM516292764US

Date of Deposit: 11-24-97

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner For Patents, Washington, D.C. 20231.

Linda Cunningham
(Signature)

LINDA CUNNINGHAM
(Printed Name)

of the firm Rae-Venter Law Group, P.C., as its attorneys or agents have full rights of substitution and revocation to prosecute this application for Letters Patents and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the invention and their attorney(s) in accordance with the provisions of Rule 3.71 of the Patent Office Rules of Practice. The undersigned has reviewed the evidentiary documents and certifies that, to the best of assignee's knowledge and behalf, title is in the assignee identified below.

Please direct all telephone calls to Barbara Rae-Venter, Ph.D., at (650) 328-4400, and address all correspondence to:

Barbara Rae-Venter, Ph.D.
Rae Venter Law Group, P.C.
P.O. Box 60039
Palo Alto, CA 94306-0039

Assignee: ProGuard, Inc.
Signature: _____
Typed Name: Bradford G. Crandall, Sr.
Title: President and CEO
Address: P.O. Box 550, Suisun City, CA 94585

Dated: _____



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
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DATE: 04/04/95
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WEIL, GOTSHAL & MANGES
BARBARA RAE-VENTER
2882 SAND HILL ROAD, SUITE 280
MENLO PARK, CALIFORNIA 94025

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UNITED STATES PATENT AND TRADEMARK OFFICE
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WEIL, GOTSHAL & MANGES

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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:
EMERSON, RALPH W.

DOC DATE: 02/13/95

ASSIGNOR:
CRANDALL, BRADFORD G., JR.

DOC DATE: 02/13/95

RECORDATION DATE: 02/24/95 NUMBER OF PAGES 004 REEL/FRAME 7358/0333

DIGEST: ASSIGNMENT OF ASSIGNOR'S INTEREST

ASSIGNEE:
PROGUARD, INC.
6111 LAMBIE ROAD
P.O. BOX 550
SUISIN CITY, CALIFORNIA 94585

SERIAL NUMBER 8-366974 FILING DATE 12/30/94
PATENT NUMBER *PROG-003/00 US* ISSUE DATE 00/00/00

Terrell Mackay
EXAMINER/PARALEGAL
ASSIGNMENT BRANCH
ASSIGNMENT/CERTIFICATION SERVICES DIVISION

d DOCKETED
APR 5/95



RECORDATION FORM COVER SHEET **PATENTS ONLY**

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Tab. settings 0 0 0

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ralph W. Emerson
Bradford G. Crandall, Jr.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: February 13, 1995

4. Application number(s) or patents number(s): 08/366,974

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Barbara Rae-Venter

Internal Address: _____

Street Address: Weil, Gothsal & Manges

2882 Sand Hill Road, Suite 280

City: Menlo Park State: CA ZIP: 94025

6. Total number of applications and patents involved: _____

1

7. Total fee (37 CFR 3.41): \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-0796

(Attached duplicate copy of this page if paying by deposit account)

100 KK 03/13/95 08366974

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barbara Rae-Venter

Name of Person Signing

Reg. No. 32,750

Signature

Date

4

Total number of pages comprising cover sheet:

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignments
Washington, D.C. 20231

REEL 1358 FRAME 333

ASSIGNMENT

Whereas, Ralph W. Emerson, residing at 1218 Deodora, Davis, California 95616 and Bradford G. Crandall, Jr., residing at 2920 Avia Bay, Davis, California 95616 (hereinafter referred to individually and collectively as "Inventor") have invented certain new and useful improvements in:

**USE OF FLAVENOID ALDEHYDES AS INSECTICIDES AND
FOR KILLING ARACHNOIDS**

and executed therefore an application for Letters Patent of the United States

- ☐ and having an oath or declaration executed on even date herewith;
- ☒ which may be identified in the United States Patent and Trademark Office as:
Serial No. 08/366974 filed December 30, 1994.
(Attorney Docket No.: PROG-003/00US).
- ☐ issued as a Patent No. _____ on _____.

Whereas, Proguard, Inc. (hereinafter "Assignee"), a corporation of California, and having a principal place of business at 6111 Lambie Road, Suisin City, California 94585 is desirous of acquiring the entire right, title, and interest in and to said invention, said application, and the letters patent to be obtained therefor:

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to Inventor in hand paid, the receipt and sufficiency whereof are hereby acknowledged, Inventor has sold, assigned, and set over and by these presents does hereby sell, assign, and set over unto Assignee and Assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, continuations, continuations-in-part, divisionals, reissues and reexaminations, and the letters patent, both foreign and domestic, that may or shall issue thereon; and Inventor does hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

Inventor hereby authorizes the above-mentioned Assignee or its legal representative to insert in this instrument the filing date and serial number of said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, Inventor conveys to Assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of the United States application (or other application if any there be) in priority to other applications; and Inventor does hereby

FILED
1995
MAR 31
FBI

REF 7358 TIME 335

By: Ralph Emerson
Ralph Emerson

On this _____ day of _____, 19____, before me, a
Notary Public in and for said county, appeared: _____
_____ who is personally known
to me/proved to me on the basis of satisfactory evidence to be the same person whose name
is subscribed to the foregoing instrument, and acknowledged that the signed, sealed and
delivered the said instrument as his free and voluntary act for the uses and purposes therein
set forth.

Notary signature

Date: 2/13/95

By: Bradford G. Crandall, Jr.
Bradford G. Crandall, Jr.

STATE OF _____)

COUNTY OF _____)

ss:

On this _____ day of _____, 19____, before me, a
Notary Public in and for said county, appeared: _____

_____ who is personally known
to me/proved to me on the basis of satisfactory evidence to be the same person whose name
is subscribed to the foregoing instrument, and acknowledged that the signed, sealed and
delivered the said instrument as his free and voluntary act for the uses and purposes therein
set forth.

(Seal)

Notary signature

SVFS01....\87\68487\4030\0238\STT1115R.170

REF 1358 PAGE 336

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PATENT

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) **OFFER TO SURRENDER**
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BOX REISSUE PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned is the assignee of 100% of the interest in the above-identified subject application by virtue of an assignment from the inventors, recorded in the Patent and Trademark Office (Reel 7358/Frame 0333). The undersigned hereby offers to surrender said Letters Patent. Filed herewith is an Order for a Title Report, together with the appropriate fee (*see* Transmittal).

Proguard, Inc.

Date: _____

Signature: _____
Bradford G. Crandall, Sr.
President & CEO

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Label No.: EM 516292764 US
Date of Deposit: 11-24-97

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(Signature) Lynda Cunningham
(Printed Name) LYNDA CUNNINGHAM